				OADING THE COLUMN	
	Verified States	MENT (DECLARATION) BY AN I	NDEPENDENT INVENTO	, QGHSHQGHQKHQKHQKUI R	
		L ENTITY STATUS UNDER 37			
Applicant or Pa	tentee: National Chiao Tu	ng University	Docket #:		
Serial or Patent			Group Art Unit:		
Filed or Issued: Title:			Examiner:		
Орі	tical monitoring apparat	us I r ues in wavelen	gth division mult	iplexing network	
reduced fees to the The speci The pater The PCT	d inventor, I hereby declare that I e Patent and Trademark Office wit fication filed herewith, with the tit application identified above. international patent application ident number identified above.	h regard to the matter describe le as listed above.	ventor as defined in 37 ed in:	CFR 1.9(c) for purposes of paying	
rights in the inven	ition to any person who could not b	oe classified as an independen	it inventor under 37 CF	assign, grant, convey or license any R 1.9(c) if that person had made the r a nonprofit organization under 32	
law to assign, gran no such each such	nt, convey or license any rights in t person, concern or organization.	he invention is listed below: listed below. Note: Separat	e verified statements are	der an obligation under contract of erequired from each named person (R 1.27).	
FULL NAME:	National Chiao Tur	ng University		☐ Individual	
ADDRESS: 100	01 Ta Hsueh Rd., Hsind	chu, Taiwan, R.O.C	•		
FULL NAME:					
1 OBB 1 VIIIVE.					
ADDRESS				☐ Small Business Concern ☐ NonProfit Organization	
L				- Noili Toth Organization	
	See attached she	eet for additional person(s) co	ncern(s) or organization	n(s).	
status prior to pay	e duty to file, in this application or ring, or at the time of paying, the longer appropriate (37 CFR 1.28(b)	earliest of the issue fee or ar	ange in status resulting in maintenance fee due	n loss of entitlement to small entity after the date on which status as a	
believed to be tru punishable by fine	e; and further that these statement	s were made with the knowle section 1001 of Title 18 of the	edge that willful false s e United States Code, ar	made on information and belief are tatements and the like so made are that such willful false statements ed statement is directed.	
	Inventor's Name	Date	Signat	URE	
s	IEN CHI	Ang. 31,2003	Sien 1	Chi	
	_		U		
 -	Inventor's Name	ДΑΤΕ	SIGNAT		
LC.	CHIEN-CHUNG LEE	Aug 31 2003	Chien - Chu	ng Lee	

Inventor's Name	DATE	Signature	
CHIEN-CHUNG LEE	Aug. 31, 2003	Chien - Chung Lee	
Inventor's Name	DATE	Signature	
CHIEN-HUNG YEH	Aug. 31, 2003	Chien Herz Yth	
	J		
Inventor's Name	DATE	Signature	

	6Р ДФУХИДНИС					
VERIFIED STATEMENT (DECLA	RATION) BY A SMALL BUSINESS S UNDER 37 CFR 1.9(F) AND 1.27(c)					
Applicant or Patentee: National Chiao Tung University Serial or Patent Number: Filed or Issued:	Docket #: Group Art Unit: Examiner:					
Title: Optical monitoring apparatus for ues in	wavelength division multiplexing network					
I hereby declare that I am the owner of the small business concern identified below: an official of the small business concern empowered to act	on behalf of the concern identified below:					
Name of Concern: National Chiao Tung University	7					
Address: 1001 Ta Hsueh Rd., Hsinchu, Taiwan						
I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control both.						
I hereby declare that rights under contract or law have been conveye regard to the matter described in:	d to and remain with the small business concern identified above with					
The specification filed herewith, with the title as listed abo	ve.					
The patent application identified above.						
The PCT International patent application identified above.						
The patent number identified above.						
If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern who would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). Each person or organization having any rights in the invention is listed below: One of the invention are held by any person or organization under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). In organization having any rights in the invention is listed below: One of the invention are held by any person or organization under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). In organization having any rights in the invention is listed below:						
FULL NAME:	☐ Individual ☐ Small Business Concern					
ADDRESS:	NonProfit Organization					
FULL NAME:						
F ULL NAME:	☐ Individual					
Andress.	☐ Small Business Concern ☐ NonProfit Organization					
☐ See attached sheet for additional p	person(s) concern(s) or organization(s).					
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).						
believed to be true; and further that these statements were made with	ge are true and that all statements made on information and belief are in the knowledge that willful false statements and the like so made are fille 18 of the United States Code, and that such willful false statements on, or any patent to which the verified statement is directed.					
Name and Title	Date					
Chung-Ju Chang/ Dean of Research and Development	Sep. 5, 2003					
1001 Ta Hsueh Rd., Hsinchu, Taiwan, R.O.C	Signature Chungowhere _					

	VEDICIED STATEMENT (222	ABATION) BY A NOVERNOOT C	Ang A Niga Agrica	1 RQB URIDVZ U DQIJDVRQI
	VERIFIED STATEMENT (DECL. CLAIMING SMALL ENTITY S	GRATION) BY A NONPROFIT C STATUS UNDER <i>37 CFR 1.9(f,</i>	RGANIZATION AND 1.27(d)	
Applicant or Patentee:	National Chiao Tung Unive	· ·	ket #:	
Serial or Patent Number: Filed or Issued:			up Art Unit: miner:	
	itanina annovatus fou			· · · · · · · · · · · · · · · · · · ·
Title: Optical mon	itoring apparatus for u	es in wavelength divi	ision muiti	plexing network
hereby declare that I am an of	ficial empowered to act on behal	If of the nonprofit organizatio	n identified be	elow:
Name of Organization: Address:				
<i>Type of Organization</i> 図University or other ir	nstitution of higher education.			
	ternal Revenue Service code) <i>(3)</i>).	
☐Nonprofit scientific on Name of State:	or educational under statute of			
	exempt under Internal Reven	Statute ue Service code (26 USC)	•	01(c)(3)) if located in the
	nprofit scientific or education		f the United	States of America if located in Statute:
purposes of paying reduced fee. '☑The specification file ☐The patent applicatio	s under section 41(a) or (b) of To ed herewith, with the title as lib on identified above. al patent application identified	tle 35, United States Code wi sted above.	organization th regard to th	as defined in 37 CFR 1.9(e) for
•		veyed to and remain with the	nonprofit org	anization with regard to the above
rights to the invention must file held by any person, other than invention, or by any concern w CFR 1.9(e). Each person, con Such person, concern with the such person w	e separate verified statements aventhe inventor, who could not qualify as a smalern or organization having any	verring to their status as smal lify as an independent invent Il business concern under 37 rights in the invention is liste	l entities and tor under 37 CCFR 1 9(d) or	al, concern or organization having that no rights to the invention are <i>FR 1.9(c)</i> if that person made the a nonprofit organization under 3%
FULL NAME:				
ADDRESS				☐ Small Business Concern
				☐ NonProfit Organization
FULL NAME:				
FULL NAME:				☐ Individual ☐ Small Business Concern ☐ NonProfit Organization
•	San attached shoot for additi			☐ Small Business Concern ☐ NonProfit Organization
acknowledge the duty to file, tatus prior to paying, or at the	in this application or patent, notice time of paying, the earliest of	onal person(s) concern(s) or offication of any change in state the issue fee or any maintena	us resulting in	☐ Small Business Concern ☐ NonProfit Organization
acknowledge the duty to file, tatus prior to paying, or at the mall entity is no longer approphereby declare that all statem elieved to be true; and further unishable by fine. or imprison	in this application or patent, notice time of paying, the earliest of priate (37 CFR 1.28(b)). The tents made herein of my own known that these statements were made	fication of any change in stat the issue fee or any maintena owledge are true and that all le with the knowledge that w	us resulting in ance fee due a statements mivillful false states Code, and	Small Business Concern NonProfit Organization loss of entitlement to small entity fler the date on which status as a add on information and belief are terments and the like so made are that such willful false statements.
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Assignor Name	Address			
CHIEN-CHUNG LEE				
Where Signed	No.40, Yusiang Rd., Hualien City, Hualien			
	County Taiwan, R.O.C			
4				
Date	Signature			
Aug 31, 2003	Chien-Chung Lee			
Witness (optional)	Witness (optional)			
Print/Type Name	Print/Type Name			
Signature	Signature			
Assignor Name	Address			
CHIEN-HUNG YEH	17 N 6 11 0 1 50 0 0 0 1			
Where Signed	1F., No.6, Alley 9; Lane 79, Sec. 3, Sianmin			
3	Blvd., Banciao City, Taipei County Taiwan,			
	R.O.C			
Date	Signature (A) Max			
Aug. 31. 2003	Clien Aung Yeh			
Witness (optional)	Witness (optional)			
	·			
Print/Type Name	Print/Type Name			
Signature	Signature			
Assignor Name	Address			
Where Signed				
Date	Signature			
Witness (optional)	Witness (optional)			
Print/Type Name	Print/Type Name			
	11,4%			
Signature	Signature			
o-granii v	I Signature			

DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled:

Optical monitoring apparatus for ues in wavelength division multiplexing network

	specification of which (check one) is attached hereto, or oblication Number:	_	as filed on:		as U.S. Application Nun	nber or PCT In	iternational
I he ame of F	and (if applicable) and (if applicable) ereby state that I have reviewed are adment(s) referred to above. I a Federal Regulations, 1.56. I he patent or inventor's certificate listing date before that of the application	d unders cknowle reby clai d below	stand the contents of the ab dge the duty to disclose info m foreign priority benefits and have also identified be	ormation whunder Title.	nich is material to patentability and significations of the states of the significant of	as defined in fan fan fan fan fan fan fan fan fan fa	Title 37, Code application(s)
			PRIOR FOREIGN APPLICATION(S)			PRIORIT	CLAIMED
	Number		Country		Day/Month/Year Filed	Yes	No
_							
<u> </u>		☐ Add	litional Priority Application	(s) Listed or	n Following Page(s)	<u> </u>	
Γ	I HEREBY CLAIM THE BENE	FIT UNDE	R TITLE 35 U.S. CODE -119	(E) OF ANY	U.S. PROVISIONAL APPLICATIONS I	ISTED BELOW.	
	Application	Numb	er		Day/Month/Year F	iled	
					•		1
		☐ Add	itional Provisional Applicat	ion(s) Listed	d on Following Page(s)		
not the	I hereby claim the benefit lication(s) designating The United disclosed in that/those prior applic duty to disclose information which ilable between the filing date of the	States o ation(s) ch is ma	f America listed below and, in the manner provided by t terial to patentability as de	insofar as t he first para fined in <i>Tit</i>	graph of Title 35, United States le 37, Code of Federal Regula	e claims of this Code, 112, ations, 1.56	s application is
	Application Number		Filing Date		Status - Patented, Pen	ding or Abai	ndoned

					listed on Following Page(s)		
pun	I hereby declare that all stat believed to be true; and further the ishable by fine or imprisonment, operations the application	nat these or both,	statements were made with under section 1001 of title in	the knowl	true and that all statements ma edge that willful false statemented States Code and that such	nts and the lik	e so made are
this	POWER OF ATTORNEY: application and transact all busine APEX JUR	ss in the	Patent and Trademark Office	attorneys, ve connected	vith full powers of substitution I therewith:	and revocatio	n, to prosecute
rega	e) authorize my(our) attorneys to a arding any matter related to the pre sional based thereon, and any pate	paration	, examination, grant and ma	intenance of	this application, any continuat	ion, continuati	on-in-part or
	d correspondence to:			elephone Ca	alls to:		
	194 Edgewater Lane No shington 98125, U.S.A		st Seattle,	206–664	-0314		
FL	JLL NAME OF FIRST OR SOLE INVENTOR	CIII		CITIZENSHIP		139	
9	ESIDENCE ADDRESS F1, No.25, Jianjhong 1st Paiwan, R.O.C	Rd., F	Isinchu City,	Taiwan.R.O.C. POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW			THERWISE
DA	Aug. 31, 2	200	3	SIGNATURE	Sier Ch	7	

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Prior Fo	REIGN APPLICATION(S) (35 US	SC ¤119)		PRIORIT	Y CLAIMED	
Number	Country		Day/Month/Year Filed	Yes	No	
				 		
		- <u> </u>				
	PRIOR PROVISIONAL APPLICATIO	ONS 35 U.S. C	ODE -119(E)			
Application Numl	per	Day/Month/Year Filed				
						
		.1				
PRIOR U	S. OR PCT INTERNATIONAL A	APPLICATIONS (3	5 U.S. CODE ¤120)			
Application Number	Filing Dat	te	Status - Patented, P	ending or A	bandoned	
					-	
FULL NAME OF JOINT INVENTOR CHIEN-CHUI	NG LEE	CITIZENSHIP	Taiwan.R.O.C			
RESIDENCE ADDRESS	-	Post Office Addr	ESS IS THE SAME AS RESIDENCE ADDRESS UN	ILESS OTHERWISE SI	HOWN BELOW	
No.40, Yusiang Rd., Hualien Cit Taiwan, R.O.C	y, Hualien County,					
DATE Aug. 31, 200	1	SIGNATURE Chien - Chung Lee				
7404 . 3 1 , 200		CHIEN CHUNG CEE				
FULL NAME OF JOINT INVENTOR CHIEN-HUNG	YEH	CITIZENSHIP Taiwan.R.O.C.				
RESIDENCE ADDRESS 1F., No.6, Alley 9, Lane 79, Sec.	3 Sianmin Blud	POST OFFICE ADDRESS IS THE SAME AS RESIDENCE ADDRESS UNLESS OTHERWISE SHOWN BELOW .				
Banciao City, Taipei County, Taiv						
DATE A 21 Day	SIGNATURE Chien Ding 7th					
Aug. 31, 2003		Chilen Hing	am			
FULL NAME OF JOINT INVENTOR		CITIZENSHIP				
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FULL NAME OF JOINT INVENTOR		CITIZENSHIP				
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